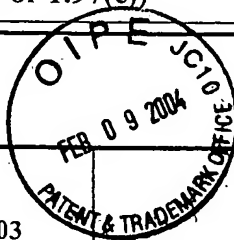


TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
3715.17-1

In Re Application Of: PAULETTI, ET AL.



Serial No.
10/698,794

Filing Date
OCTOBER 31, 2003

Examiner
N/A

Group Art Unit
N/A

Title: THERAPEUTIC COMPOSITIONS FOR DRUG DELIVERY TO AND THROUGH COVERING EPITHELIA

Address to:
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR
- ☐ the fee set forth in 37 CFR 1.17(p).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
3715.17-1

In Re Application: **PAULETTI, ET AL.**

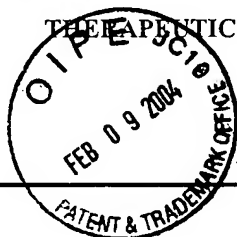
Serial No.
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Filing Date
OCTOBER 31, 2003

Examiner
N/A

Group Art Unit
N/A

THERAPEUTIC COMPOSITIONS FOR DRUG DELIVERY TO AND THROUGH COVERING



Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

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- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **16-1331** as described below.
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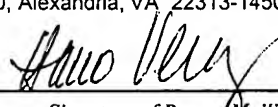
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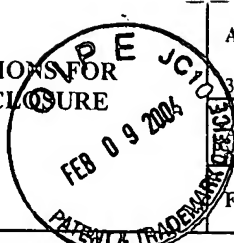
Dated: **FEBRUARY 5, 2004**

HANA VERNY (REG. NO. 30,518)
PETERS, VERNY, JONES & SCHMITT, L.L.P.
385 SHERMAN AVENUE, SUITE 6
PALO ALTO, CA 94306
TELEPHONE: (650)324-1677
FACSIMILE: (650)324-1678

CC:

FOR PTO-1449 (Modified)
**LIST OF PATENTS AND PUBLICATIONS FOR
 APPLICANTS INFORMATION DISCLOSURE
 STATEMENT**

(Use several sheets if necessary)



ATTY. DOCKET NO.

3715.17-1

SERIAL NO.

10/698,794

APPLICANT : PAULETTI, ET AL.

FILING DATE : OCTOBER 31, 2003

GROUP N/A

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|---------------------|-----------------|------|---------|-------|----------|-------------------------------|
| | | | | | | |
| EXAMINER INITIAL | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION YES NO |
| | | | US | | | |

OTHER

ART (Including Author, Title, Date, Pertinent Pages, Etc.)

| | |
|---|---|
| A | Antonios G. Mikos, et al., Preparation and Characterization of Poly(L-lactic acid) Foams, <u>Polymer</u> , 35/5:1068-1077 (1994). |
| B | Ch. Schugens, et al., Polylactide Macroporous Biodegradable Implants For Cell Transplantation. Preparation of Polylactide Foams by Liquid-Liquid Phase Separation, <u>Journal of Biomedical Materials Research</u> , 30:449-461 (1996). |
| C | P. Mura, et al., Evaluation of Transcutol as a Clonazepam Transdermal Permeation Enhancer From Hydrophilic Gel Formulations, <u>European Journal of Pharmaceutical Sciences</u> , 9:365-372 (2000). |
| D | Benjamin M. Wu, et al., Solid Free-Form Fabrication of Drug Delivery Devices, <u>Journal of Controlled Release</u> , 40:77-87 (1996). |
| E | Venkatram Prasad Shastri, et al., <i>In Situ</i> Pore Formation in a Polymer Matrix by Differential Polymer Degradation, <u>Biomaterials</u> , 24:3133-3137 (2003). |
| F | Venkatram Prasad Shastri, et al., Macroporous Polymer Foams by Hydrocarbon Templating, <u>PNAS</u> , 97/5 (1970-1975). |
| G | David J. Mooney, et al., Novel Approach to Fabricate Porous Sponges of Poly(D,L-lactic-co-glycolic acid) Without the Use of Organic Solvents, <u>Biomaterials</u> , 17/14:1417-1422 (1996). |
| H | J. Sohler, et al., A Novel Method to Obtain Protein Release From Porous Polymer Scaffolds: Emulsion Coating, <u>Journal of Controlled Release</u> , 87:57-68 (2003). |

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.